

COVER SHEET FOR AMENDMENT OF POST-TRAVEL SUBMISSION

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Instructions: Use this form as a cover sheet for any paperwork you may need to submit to the **Office of Public Records** in order to make your Privately Sponsored Post-Travel Submission complete in accordance with Rule 35. **Only complete this form if you need to submit an amendment to a post-travel filing you have already submitted.**

SUBMIT DIRECTLY TO THE **OFFICE OF PUBLIC RECORDS IN 232 HART BUILDING**

Name of Traveler: Anna L. Fink, Fellow

Employing Office/Committee: Sen. Donnelly

Travel Expenses Paid by (List all sources): Project on Nuclear Issues-Center for Strategic & International Studies; Los Alamos National Laboratory (cosponsoring workshop-a portion of a conference event-only)

Travel Date(s): July 9-July 12, 2018

Description/Title of Attached Forms: _____

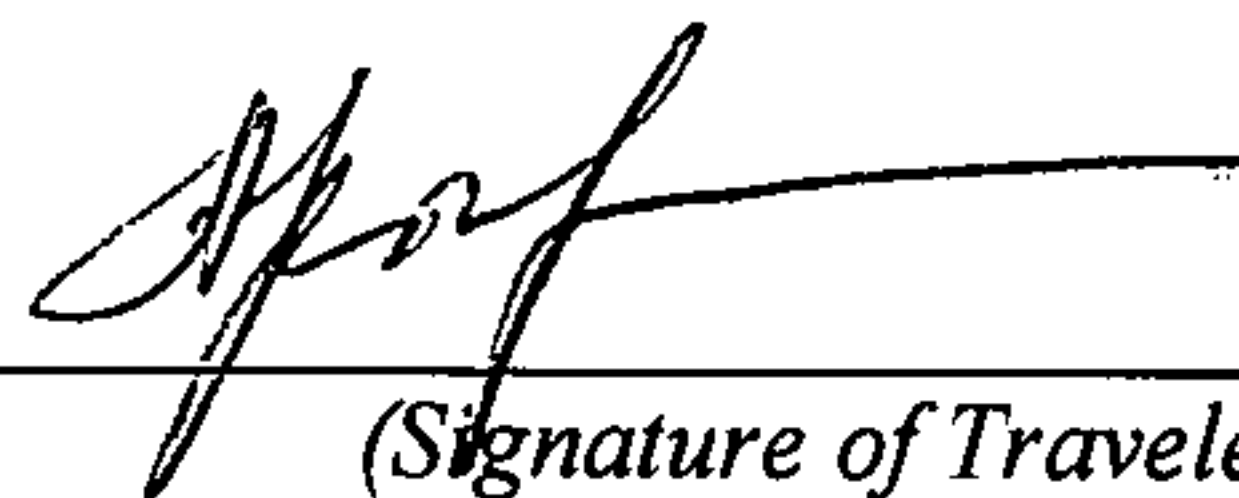
Private Sponsor Travel Certification Form and Addendum

Purpose of Amendment (describe the reason for amending original submission): _____

As per Senate Ethics, re-submittal of approved Private Sponsor Certification Form.

9/12/18

(Date)



(Signature of Traveler)

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should **NOT** submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee **MUST** also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

1. Sponsor(s) of the trip (please list all sponsors): Project on Nuclear Issues (PONI) -- Center for Strategic and International Studies (CSIS); Los Alamos National Laboratory(LANL) (cosponsoring workshop only)
2. Description of the trip: Attend CSIS PONI summer conference and participate in a workshop for defense professionals with presentations from nuclear experts on deterrence ... (see addendum)
3. Dates of travel: July 9, 2018 -- July 12, 2018
4. Place of travel: Santa Fe, New Mexico
5. Name and title of Senate invitees: Anna L. Fink, Congressional Fellow for Senator Donnelly
6. I *certify* that the trip fits one of the following categories:
 - ☒ (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal **and** do not retain or employ registered lobbyists or agents of a foreign principal **and** no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.
 - OR –
 - ☐ (B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (*see question 9*).
7. ☒ I *certify* that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.
 - AND –
 - ☒ I *certify* that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
8. I *certify* that:
 - ☒ The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for *de minimis* lobbyist involvement.
 - AND –
 - ☒ The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (*see question 9*).

9. **USE ONLY IF YOU CHECKED QUESTION 6(B)**

I *certify* that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:

☐ (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip.

– OR –

☐ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip (*see questions 6 and 10*).

– OR –

☐ (C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

10. **USE ONLY IF YOU CHECKED QUESTION 9(B)**

If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:

11. ☒ An itinerary for the trip is attached to this form. I *certify* that the attached itinerary is a detailed (hour-by-hour), complete, and final itinerary for the trip.

12. Briefly describe the role of each sponsor in organizing and conducting the trip:

PONI is a program at CSIS. PONI is the sole sponsor of the conference. PONI and LANL are co-sponsors of the workshop. In this role, PONI and LANL jointly develop the workshop agenda and select participants.

13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:

CSIS is a bipartisan, nonprofit policy research organization dedicated to providing strategic insights and policy solutions to help decision makers chart a course toward a better world. PONI is a program at CSIS.

The core mission of PONI is to develop the next generation of policy, technical, and ... (see addendum)

14. Briefly describe each sponsor's prior history of sponsoring congressional trips:

CSIS has sponsored previous trips, but the PONI program has not. PONI is not aware of the details of trips sponsored by other CSIS programs.

15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):

PONI is a program at CSIS. CSIS hosts numerous conferences and educational activities each year.

PONI holds four conferences each year – on military bases, national labs, at CSIS headquarters, and at US Strategic Command. Each conference features presentations on nuclear issues ... (see addendum)

16. Total Expenses for Each Participant:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses
<input checked="" type="checkbox"/> Good Faith estimate <input type="checkbox"/> Actual Amounts	Total: \$660 Round trip airfare: \$600 Rental car: \$60	\$357	\$60	None

17. State whether a) the trip involves an event that is arranged or organized *without regard* to congressional participation or b) the trip involves an event that is arranged or organized *specifically with regard* to congressional participation:

Trip is arranged without regard to congressional participation.

18. Reason for selecting the location of the event or trip

Santa Fe is the closest city to LANL. At least one PONI conference each year is hosted at a lab so that conference participants can interact with lab staff and see first hand the research that occurs there.

19. Name and location of hotel or other lodging facility:

Hilton Santa Fe Buffalo Thunder, 20 Buffalo Thunder Trail, Santa Fe, NM 87506

20. Reason(s) for selecting hotel or other lodging facility:

Proximity to LANL (conference and workshop location); ability to accommodate a large group; PONI was offered a competitive group rate.

21. Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:

Lodging is \$26 more expensive per night than per diem rate for New Mexico. Meals and incidentals are \$20 less expensive per day than per diem rate for New Mexico.

22. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:

Economy/coach class round trip airfare from Washington, DC to Albuquerque, New Mexico. Albuquerque is a 1 hr 40 min drive from Santa Fe. PONI will also pay for rental car expenses, ... (see addendum)

23. ☒ I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).

24. List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:

None.

25. I hereby *certify* that the information contained herein is true, complete and correct. (For trips involving more than one sponsor, you *must* include a completed signature page for each additional sponsor):

Signature of Travel Sponsor: B. Stadler

Name and Title: Bernadette Stadler, Program Coordinator and Research Assistant

Name of Organization: Project on Nuclear Issues, Center for Strategic and International Studies

Address: 1616 Rhode Island Avenue NW, Washington, DC 20036

Telephone Number: 202-741-3926

Fax Number: 202-755-3199

E-mail Address: bstadler@csis.org

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM
SIGNATURE PAGE FOR ADDITIONAL SPONSOR
(to be completed by each additional sponsor)

I hereby *certify* that the information contained on pages 1-4 of the certification form and any accompanying addenda, all submitted in connection with the July 9, 2018 -- July 12, 2018 trip
to Santa Fe, New Mexico is true, complete, and correct.
Place of Travel *Dates of Travel (Month Day, Year)*

Signature of Travel Sponsor: _____

Name and Title: Kory Sylvester, Director

Name of Organization: Office of National Security and International Studies

Address: P.O. Box 1663, MS A121, Los Alamos, NM 87545

Telephone Number: 505-667-6120

Fax Number: N/A

E-mail Address: ksylvester@lanl.gov

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2. ... nonproliferation, and arms control.

The PONI summer conference will take place from July 10-11. The first day of the conference will include three panels and a keynote address by Dr. Robert Soofer, Deputy Assistant Secretary of Defense, Nuclear and Missile Defense Policy. The second day of the conference will include tours of LANL facilities; a keynote address by Dr. Chris Ford, Assistant Secretary of State, Bureau of International Security; and a breakout exercise on nuclear forensics. (More information about the conference and workshop is available in the attached agenda).

The workshop on July 12 will bring together nuclear and technical policy experts to discuss the challenges associated with maintaining the US tritium supply. Current supply of this decaying radioisotope (with a 12-year half-life) is provided primarily by harvesting from retired systems. New production will be required soon to meet demand but current plans will need to overcome several obstacles. The availability of reactors for irradiating lithium targets is limited, as is tritium recovery capabilities. Further infrastructure investment will be needed. To compound the problem, these reactors must be fueled with domestic uranium (due to "peaceful use" restrictions on uranium enriched from foreign sources). The US lacks this enrichment capability and is looking to build a new industrial capability which poses its own costs and programmatic risks. This workshop will review the complex interplay between technical and policy issues of US tritium production and discuss creative alternatives.

13. ... operational nuclear professionals by fostering, sustaining, and convening a networked community of young professionals prepared to meet the nuclear challenges of the future.

15. ... from selected graduate students and young professionals, chosen by PONI staff from a large pool of applications. Conferences are geared towards professionals from the national laboratories, the military, academia, and the policy world.

All conferences include keynote addresses by senior officials and subject matter experts, as well as tours of host facilities when appropriate. Additionally, the conferences typically include presentations from senior experts and host facility employees on relevant technical issues, as well as smaller breakout discussions and simulations designed to deepen knowledge on key nuclear themes, and expand relationships in a cross-generational format.

Other PONI projects include research and network-building opportunities for nuclear professionals.

22. ... including gas, to allow participants to travel from Albuquerque to Santa Fe and to return from Santa Fe to Albuquerque. Rental cars will be economy class.

LANL will provide a complementary shuttle to transport all conference participants from the hotel to the conference space. LANL owns and operates the shuttle, and provides shuttle service to any large group visiting the laboratory.